United States
Consumer Product Safety Commission

eFiling Overview

Arthur Laciak, eFiling Program Specialist

April 2024

Disclaimer: *This presentation was prepared by CPSC Staff and may not necessarily reflect the views of the Commission.*
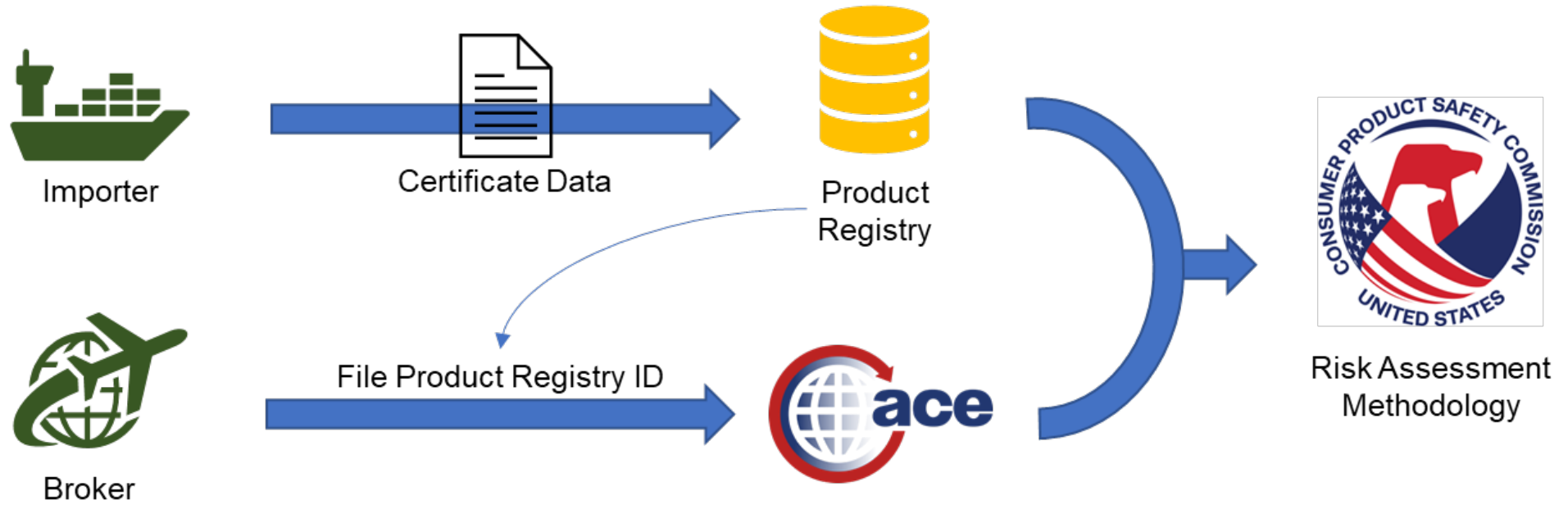
What is eFiling?

eFiling is a CPSC initiative under which importers of regulated consumer products will electronically file (eFile) data elements from a certificate of compliance with U.S. Customs and Border Protection (CBP), via a Partner Government Agency (PGA) Message Set.

eFiling – CPSC's Modern Approach for Filing Certificate Data

Data stored electronically in the secure CPSC Product Registry

Multiple options for electronically filing a PGA Message Set before a shipment arrives

Real-time data capture and streamlined processes for Trade

Easier compliance with CPSC's certification requirements
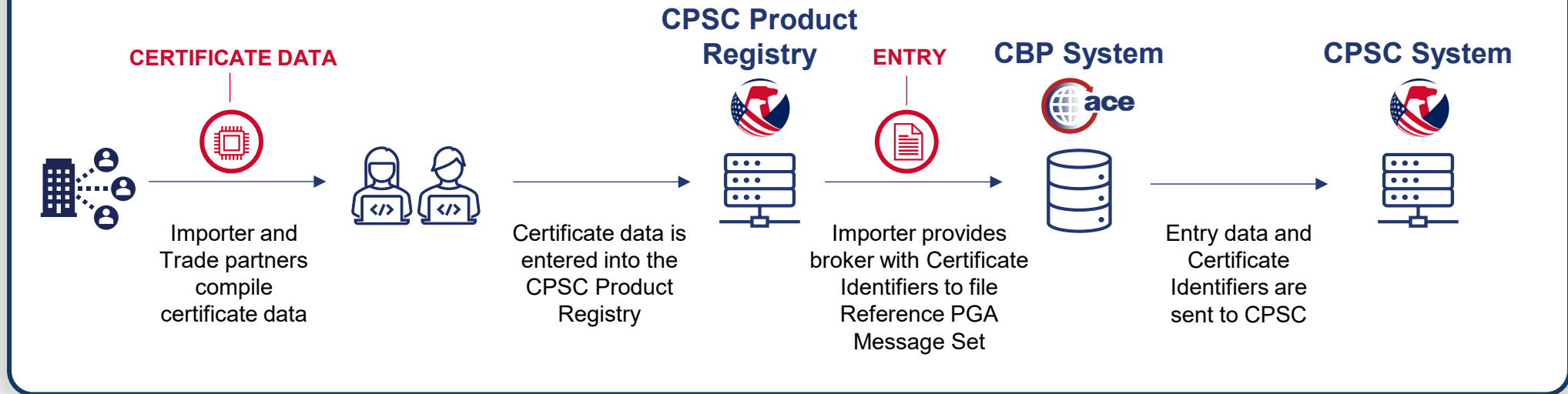
Option 1: Reference Message Set

Importer → Certificate Data → Product Registry

Broker → File Product Registry ID → ace → Risk Assessment Methodology

Option 2: Full Message Set

Importer
Broker → Certificate Data → ace → Risk Assessment Methodology

Why eFiling?

eFiling a Reference PGA Message Set

CERTIFICATE DATA

Importer and Trade partners compile certificate data

Certificate data is entered into the CPSC Product Registry

CPSC Product Registry

ENTRY

Importer provides broker with Certificate Identifiers to file Reference PGA Message Set

CBP System
ace

Entry data and Certificate Identifiers are sent to CPSC

CPSC System

Projected Benefits

- Reduction in risk score
- Reduced hold times
- Fewer exams
- Fewer holds to check for certificates
- Greater focus on higher risk products
- Reduced costs to the importer

What Must be Certified?

Products must be certified if they are…

☑ Subject to a consumer product safety rule, ban, similar rule, standard, or regulation;

☑ Imported for consumption or warehousing;

☑ Or distributed in commerce.

Importers are responsible for certification.

📌 Both importers and their trade partners should have a clear understanding of which standards need to be met.

All trade parties must know which mandatory standards apply to the products they are importing.

Types of Certificates

- Children's Product Certificate (CPC) - the manufacturer or importer certifies that its <u>children's product</u> complies with all applicable children's product safety rules (bans, standards, similar rules, or regulations). <u>https://www.cpsc.gov/Testing-Certification/Childrens-Product-Certificate-CPC</u>

- General Certificate of Conformity (GCC) – the manufacturer or importer that its <u>general use product (non-children's product)</u> comply with all appliable consumer product safety rules. <u>https://www.cpsc.gov/Business--Manufacturing/Testing-Certification/General-Certificate-of-Conformity-GCC</u>

Products Requiring a Children's Product Certificate

Children's Products:
  ASTM F-963
  Lead Paint
  Lead Content
  Small parts
  Phthalates (child care)
ATVs (Youth)
Activity Centers
Baby Changing Products
Bassinets and Cradles
Bedside Sleepers
Bicycles (Youth)
Bicycle Helmets (Youth)
Bouncer Seats
Booster Seats
Bunk Beds
Carpets and Rugs
Children's Furniture
Children's Folding Chairs and Stools
Clacker Balls
Clothing & General Wearing Apparel
Cribs
Dive Sticks
Electrically Operated Toys/Articles

Hand-held Infant Carriers
Highchairs
Frame Child Carriers
Gates and Enclosures
Imitation Firearms
Infant Bath Seats
Infant Swings
Infant Walkers
Mattresses – Crib and Youth Size (open Flame)
Mattresses – Crib and Youth Size (smoldering)
Pacifiers
Paint and surface coatings
Play Yards
Portable Bed Rails
Portable Hook-On Chairs
Rattles
Sleepwear sizes 0-6X
Sleepwear sizes 7-14
Sling Carriers
Small Parts
Soft Infant and Toddler Carriers
Toddler Beds
Toys
Toys with Magnets
Wearing Apparel – Children's
Vinyl Plastic Film in Children's Apparel

Products Requiring a General Certificate of Conformity

Architectural Glazing
Artificial Emberizing Materials*
ATVs
Bicycles
Bicycle Helmets
Bunk Beds
Button Cell/Coin Battery Packaging
Candles with Metal Wicks
Carpets and Rugs
CB Antennas
Cellulose Insulation
Cigarette Lighters
Contact Adhesives
Consumer Patching Compounds*
Drywall
Fireworks
Garage Door Openers
Inclined Infant Sleep Products*

Lawnmowers
Lawn Darts*
Matchbooks
Mattresses (open Flame)
Mattresses (smoldering)
Metal Candle Wicks*
Multi-purpose Lighters
Paint and Similar Surface Coatings*
Packaging Subject to the Poison Prevention Packaging Act
Portable Gas Containers
Pool and Spa Drain Covers
Refuse Bins*
Refrigerator Doors
Swimming Pool Slides
Vinyl Plastic Film
Wearing Apparel (Flammability)

*Products subject to ban
Updated 10/11/2023

https://cpsc.gov/Business--Manufacturing/Testing-Certification

Certificates Must include

Identification of the finished product

Party certifying compliance

Each consumer product safety rule to which the finished product has been certified

Date and place the finished product was manufactured

Date when and place where the finished product was most recently tested for compliance

Contact information for person maintaining test records

History

2008 — CPSIA requires importers to test and certify children's and other general use products and provide certificates upon request (Codified under 16 CFR 1110).

2013 — NPR published that would, among other things, require the electronic filing of certificates of compliance at the time of entry.

2016 — Alpha Pilot conducted with eight participants that tested the PGA Message Set and Product Registry.

2017 — Certificate Study analyzed risk potential of certificate data elements.

2020 — Commission approves of Staff Briefing Package and Beta Pilot. 2023

eFiling Program Roadmap

CPSC is utilizing a phased approach to implement the eFiling Program. Phase 2 officially began with the kickoff of the eFiling Beta Pilot in October 2023.

PHASE 1
Alpha Pilot

PGA Message Set and Product Registry Testing
8 Participants

2016 – Completed

PHASE 2
Beta Pilot

IT development and participant testing
Over 35 Participants

Fall 2023 – Summer 2024

PHASE 3
Final Rulemaking

Integrate eFiling rule changes

Spring 2024 – Year End

PHASE 4
Full Implementation

Rollout of final eFiling requirements

2025

eFiling Beta Pilot Timeline

📅 The timeline below shows the key activities for Beta Pilot participants and CPSC through February 2025:
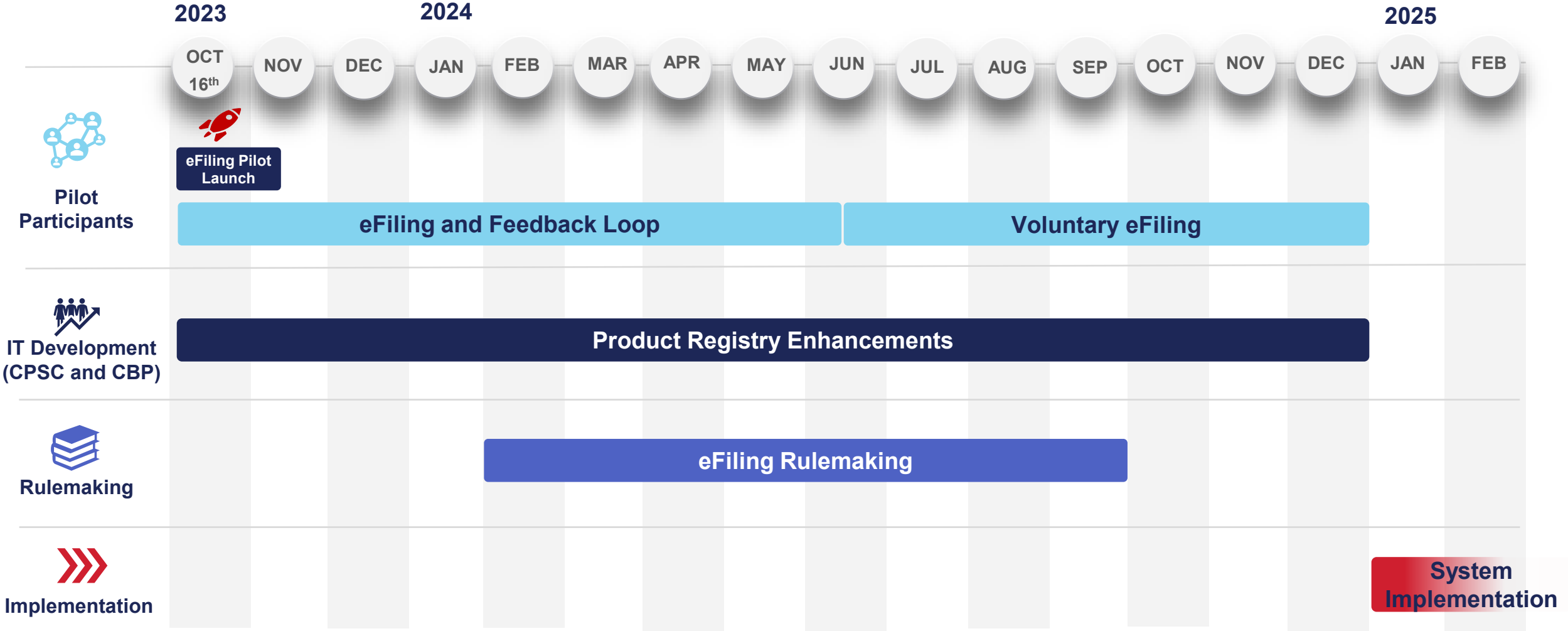
Creating a Business Account*

Set Certifier ID to Complete Business Account

A unique certifier ID is required for every Business Account. A default based on your company information has been generated below, but you are free to replace it with your GLN, or another unique identifier for your company.

Important Points:

- Once a unique Certifier ID has been chosen, it cannot be changed
- Completing this step will make you an Administrator of the new Business Account
- Administrators should be employees of the company the Business Account represents

Certifier ID*
MyNewCompany-Division 1

Company name: My New Company
Division: Division 1
Address: 123 Candy Land Lane , Bethesda, MD, 20901, United States of America
Email: abc@mynewcompany.com
Phone: 301-523-4174
Website: www.mynewcompany.com

SAVE   CANCEL

Screenshots are for demonstration purposes and are subject to change as development continues

Business Account Dashboard and User Invite*

Screenshots are for demonstration purposes and are subject to change as development continues

Trade Party Management*

Screenshots are for demonstration purposes and are subject to change as development continues

Adding Products to a Collection*

*Screenshots are for demonstration purposes and are subject to change as development continues

CSV Bulk Upload*

*Screenshots are for demonstration purposes and are subject to change as development continues

Editing Products in a Collection*

*Screenshots are for demonstration purposes and are subject to change as development continues

What are the eFiling Benefits?

Reduction in Risk Score

- Importers may see a **reduction of their risk score** over time based on the amount of data provided
- Reductions in Risk Scores may result in **reduced hold times** and **fewer exams** which may reduce costs to the importer

Greater Focus on Higher Risk Products

- CPSC's increased focus on higher risk shipments will **result in fewer holds to check for certificates**
- Holds will be more likely **performed for substantial violations** rather than for certificates as certificate data will be provided ahead of time
- Fewer holds may result in **reduced costs to the importer**

eFiling Support Services

CPSC has multiple channels to provide the most current, relevant, and accurate support to enable effective eFiling implementation.

Resources

Visit the eFiling webpage at www.CPSC.gov/eFiling

Sign up for the eFiling mailing list

Utilize available documents and resources

Support

Contact the support team at eFilingPilot@CPSC.gov

Email with questions, feedback, and comments

Ask technical questions for the IT team